1	COURT OF APPEALS
2	STATE OF NEW YORK
3	
4	PEOPLE OF THE STATE OF NEW YORK,
5	Respondent,
6	-against-
7	No. 144 LOUIS SPEAKS,
8	Appellant.
9	
10	20 Eagle Street Albany, New York 12207
11	September 08, 2016
12	Before:
13	CHIEF JUDGE JANET DIFIORE ASSOCIATE JUDGE EUGENE F. PIGOTT, JR.
14	ASSOCIATE JUDGE JENNY RIVERA ASSOCIATE JUDGE SHEILA ABDUS-SALAAM
15	ASSOCIATE JUDGE LESLIE E. STEIN ASSOCIATE JUDGE EUGENE M. FAHEY
16	ASSOCIATE JUDGE MICHAEL J. GARCIA
17	Appearances:
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25	Penina Wolicki Official Court Transcriber

1 CHIEF JUDGE DIFIORE: This afternoon, the 2 first matter on our calendar is number 144, People v. 3 Louis Speaks. Counsel? MS. TERAI: Good afternoon, Your Honors. 4 5 May I reserve one minute for rebuttal, please? CHIEF JUDGE DIFIORE: You may. 6 7 MS. TERAI: May it please the court, Nao 8 Terai from Appellate Advocates, here on behalf of 9 appellant, Louis Speaks. 10 I'd like to address the issues in reverse 11 order - - - order, starting with the ineffective assistance of counsel point. This case ultimately 12 13 came down to the identification testimony of three 14 eyewitnesses, where one of the identify - - - one of 15 the witnesses identified appellant as a shorter 16 robber, which appellant clearly was not, since 17 Octavious Williams came to trial and admitted that he 18 was the shorter robber. And two other witnesses made 19 identifications under questionable circumstances. 20 And the defense's mistaken identification, 21 and defense counsel's clear strategy throughout trial 22 was to challenge the reliability of identi - - - of 23 the People's identification evidence.

Nevertheless, during summation, pro - - -

during - - - during the prosecutor's summation,

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defense counsel repeatedly failed to object when the prosecutor made arguments which had no record support and distorted the evidence that related to the key identification issues. And this misconduct here, just as in Reid and in Fisher, went - - in Fisher, went directly to the central issue at trial, and unfairly bolstered the People's case.

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Focusing on two of the more egregious comments, first, although Major testified about seeing the ro - - - the taller robber's face only through - - - for three brief periods of time - - -

JUDGE ABDUS-SALAAM: I'm sorry, counsel.

Are you suggesting that it's the prosecutor's summation comments that deprived the defendant of a fair trial, or the defense counsel's failure to object to them?

MS. TERAI: It's defense counsel's repeated failure to object to the prosecutor's summation misconduct. And the misconduct here went directly to the central issue at trial, which was identification. And so there was no strategic reason - - - there was - - - there was abs - - - and that's exact - - - the identification was what defense counsel challenged throughout trial, and there was just absolutely no reason - - -

1 JUDGE ABDUS-SALAAM: I'm not saying that we 2 will, but if we disagreed that the comments by the 3 prosecutor were not improper, then that would be - -- not be ineffective assistance of counsel to not 4 5 have objected to it? MS. TERAI: If the - - -6 7 THE COURT: Do you - - - do you agree? 8 MS. TERAI: If the comments were not - - -9 if she made - - - if the prosecutor made - - -10 JUDGE ABDUS-SALAAM: If the comments were 11 proper comments? 12 MS. TERAI: If the com - - - yeah, if the 13 prosecutor made proper comments, then there was no 14 reason for defense counsel to object. But here, the 15 prosecutor's comment went above - - - went beyond 16 what's fair comment from the evidence. She clearly 17 distorted - - - grossly distorted the evidence when 18 she started com - - - when she played - - - when 19 Major testified, she - - - that he saw the taller 20 robber for three brief periods of time, rather than -21 - - the prosecutor here distorted that evidence, and 22 during summation, played this video for ten minutes 23 and said - - - and repeatedly said, you know, Major

was seeing this robber's face the entire time, and

during this whole ten minutes, he was like looking at

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this robber's face - - - the taller robber's face. 1 2 And that's not what the evidence showed. 3 And this - - - but however, this - - - the 4 way the prosecutor distorted the evidence really 5 grossly bolstered the reliability of the eyewitness identifi - - - this eyewitness' identification, yet 6 7 defense counsel did not object at all. Similar - - -8 9 JUDGE FAHEY: Are you talking - - - I'm a 10 little confused. Are you talking about the Anderson 11 identification that was brought in in - - - as hearsay, allegedly, by Detective Henry? 12 13 MS. TERAI: This one I'm talking about was 14 Major - - - Wilton Major - - -15 JUDGE FAHEY: Oh. MS. TERAI: - - - which was the first - - -16 17 JUDGE FAHEY: Okay, one of the witnesses. 18 Yeah. 19 MS. TERAI: One of the witnesses who 20 testified. And similarly, another witness who 21 testified, Mateo, said that she - - - he saw the tall -- she saw the taller robber's face for two brief 22 23 - - - twice for a couple of seconds. 2.4 JUDGE FAHEY: Well, they said - - - laid

out the deficiencies - - - arguable deficiencies in

each one of those.

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If you could, I'd like you to focus a little bit on the - - - the issue of the testimony of the identification - - - or the description that was given by Anderson that Detective Henry used.

MS. TERAI: Um-hum.

MS. TERAI: Yeah, of - - - so, regarding the description, first Anderson didn't testify at trial. And the problem here was that this description was ostensibly introduced to explain police action. But here, police action was, first off, not at all relevant. How the police ended up seizing upon my client had no relevance at all at trial, and the People never actually tried to elicit

JUDGE GARCIA: But isn't it relevant if it's an identification case, how they came to find this defendant and charge him with this crime?

Wouldn't that be relevant, if you're saying it's not the right guy?

MS. TERAI: But the - - - the People didn't try to introduce how - - - like how this particular description that Anderson gave did not factor at all into how the police came upon my client. And in

fact, the People never tried to even introduce how the - - - how the police ended up seizing upon my client. That was not at all relevant.

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What was relevant was whether these three eye - - - whether these three eyewitnesses who testified at trial were able to make an accurate - - the accuracy of the identification.

JUDGE STEIN: Isn't your argument, though, premised on the assumption that the jury would not follow the court's instruction on this? So in other words, if - - - if the jury followed the instructions and said well, I don't see a connection, then - - - then they're going to disregard the testimony; and if they do find a connection, then they'll consider it for that purpose.

MS. TERAI: Well, the jury couldn't have followed the instruction, because it - - - this testimo - - - there was no connect - - - there was no connection between this description and - - -

JUDGE GARCIA: And they wouldn't have used it for anything? I mean, it's a hearsay violation. There's no confrontation clause issue here. It wasn't raised. So what - - it's a hearsay violation, they get a hearsay instruction; to the extent it's relevant, they can use it, but to the

extent it's improper to consider it for the truth, you can't.

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MS. TERAI: But I think here in this case, there was no - - - there was no way for the jury to use it for a proper purpose, because the - - - the - - it didn't relate at all to any sort of - - it wasn't relevant to how the police seized upon my client. It wasn't connected - - -

JUDGE GARCIA: They should use it for nothing. I mean, then you're assuming they used it for something.

MS. TERAI: But - - - but - - -

JUDGE GARCIA: But Judge Stein is saying they were instructed the only way you can use this is for X - - police steps subsequent to this identification. So if the instruction from the judge is you could only use it for X, and X really doesn't become an issue, why do we assume they used it for the improper purpose?

MS. TERAI: I - - - I think here, though, because this case came down to identification and this description was somewhat - - - you know, it was similar to what my client looked like, and my client's size was fairly unique, I think the temptation here would have been for the jury to use

it for its truth, to hear that, you know - - -

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mentioned there were two other eyewitnesses who - - - who testified, and I think you mentioned Mr. Major.

And I - - my recollection of the record is that when he was asked questions about who, you know, the suspects or the robbers were, he - - he pointed to your client immediately, without even being - - maybe he wasn't even asked. He just said there he is, there's the guy sitting at the table, without even any questions.

So he was making this in-court identification, and he had been in the store when it was robbed. And - - -

MS. TERAI: Major made an in-court identification, but there were problems with his incourt - - - there were problems with the a - - - like the reliability of the identification. First it went - - merely after the robbery, he wasn't able to provide a description to the police. He told the police he wasn't even sure if he could make an identification.

Further he had - - - he had very little recollection of whether, you know, the man had facial hair or if he was wearing a hat, which suggested that

1 he didn't really get an opportunity to see this man's 2 face, and maybe he was able to glean this man's size. 3 But he - - - just seeing the size, I mean, Wilson also gleaned the taller robber's size - - -4 5 JUDGE RIVERA: But isn't that all - - -MS. TERAI: - - - and - - -6 7 JUDGE RIVERA: - - - isn't that all for the 8 jury? 9 MS. TERAI: Pardon? 10 JUDGE RIVERA: Isn't that all for the trier 11 of fact? 12 MS. TERAI: But it all goes to the harmless 13 error, and it goes to show that, you know, this 14 evidence the - - - it was not very strong. The 15 People's case was not particularly strong. 16 allowing this like implicit identification testimony, 17 implicit description that ended up becoming an implicit identification, was not harmless. 18 19 JUDGE RIVERA: What - - - what's your 20 strongest case for weak - - - several eyewitness 21 identifications that are weak but point to the 22 defendant are not harmless error? Do you have a case 23 that's strong enough to support that proposition? 2.4 MS. TERAI: A particular - - - well, like, 25 we know the ident - - - there are problems with

1	identification identifications, and that the -
2	you know, the social sciences show that the
3	there are actual weaknesses with identification in a
4	case like this
5	JUDGE RIVERA: Was there expert testimony
6	to that effect in this trial?
7	MS. TERAI: Not in this trial. But you
8	know, defendant's counsel did do a good job of trying
9	to elicit all of this. And that also makes the
10	reason why the during summation, when he failed
11	to object to a lot of the summation con
12	misconduct, it was ineffective assistance.
13	JUDGE GARCIA: I'm sorry. One last
14	question. I'm sorry. Chief, may I
15	CHIEF JUDGE DIFIORE: Yes.
16	JUDGE GARCIA: What are we're
17	reviewing a hearsay ruling, right?
18	MS. TERAI: Um-hum.
19	JUDGE GARCIA: So what's our standard of
20	review?
21	MS. TERAI: Whether it was whether it
22	deprived my client of a fair trial.
23	JUDGE GARCIA: It's an abuse of discretion,
24	right?
25	MS. TERAI: It's abuse of discretion, yes.

1	JUDGE GARCIA: So we're reviewing whether
2	this trial judge abused their discretion in admitting
3	this testimony?
4	MS. TERAI: Yeah.
5	CHIEF JUDGE DIFIORE: Thank you, counsel.
6	Counsel?
7	MS. GLACHMAN: Good morning. Lori Glachman
8	for respondent. I want to start off by talking about
9	preservation. This entire claim is not reviewable by
10	the court because
11	JUDGE FAHEY: You're talking about
12	preservation on Anderson?
13	MS. GLACHMAN: On on
14	JUDGE FAHEY: Of the entire claim?
15	MS. GLACHMAN: on the entire claim.
16	JUDGE FAHEY: Go ahead.
17	MS. GLACHMAN: Counsel objected, one-word
18	hearsay objections. The court sustained
19	effectively sustained that objection. The court
20	agreed that it was hearsay and issued a ruling on it
21	saying it's not to be considered for its truth. And
22	
23	JUDGE STEIN: But when I and it
24	talked about the very issue that they're that
25	they're now discussing. And so doesn't that provide

1 a basis for our - - -2 MS. GLACHMAN: No, the court did not - - -3 JUDGE STEIN: - - - so - - -4 MS. GLACHMAN: - - - expressly decide the 5 issue, nor could it, because the detective, he hadn't 6 testified yet about his investigation, so it - - -7 JUDGE STEIN: He said he was going to admit 8 it for that particular purpose, so it clearly - - -9 MS. GLACHMAN: It was - - -10 JUDGE STEIN: - - - made a ruling on it. 11 Now whether, you know, something else may have 12 happened after his ruling - - -13 MS. GLACHMAN: Well, the court - - - if I 14 may for a second - - - said that it's going to - - -15 "I'm going to allow it to explain why this witness 16 did whatever he may have done." Because the 17 detective hadn't testified to it yet. So in order to 18 properly des - - - expressly decide this, it would 19 have had to be brought to the court's attention that, 20 wait a minute, you made a ruling that you were 21 allowing it in, effectively subject - - - subject to 22 connection, but it was never connected. 23 JUDGE ABDUS-SALAAM: Well, assuming it was 2.4 preserved, whether we, you know, ultimately think it

is or it isn't - - - assuming it was preserved, do

1 you have some comments you want to make to the state 2 of your counsel - - -3 MS. GLACHMAN: Oh, yes, absolutely. JUDGE ABDUS-SALAAM: Yes? 4 5 MS. GLACHMAN: Thank you. 6 JUDGE FAHEY: Can you focus on there's - -7 - I'd like you to focus on - - - this is the way I 8 read the summation of the proof that the dissent laid 9 out. First off, Major - - - one of the - - - I'm 10 talking about the witnesses now. There's four 11 witnesses we need to talk about. Major, after crime 12 did not give any description. He gave a description 13 the first time at, I think, seven months afterwards 14 at the line-up in the photo array. There was no 15 description after the crime, of Major. 16 Wilson's the second one. He misidentified 17 the defendant as the shorter robber, and he didn't 18 view the line-up. You can correct me if you think 19 I'm wrong. 20 Third one, Mateo ID'ed the defendant for 21 the first time at the trial, two years afterwards, 22 and didn't identify him either in the line-up or the

And then Anderson, his description was given, but Anderson, of course, is this - - - that's,

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photo array.

that's what we're here for argument, the hearsay question on that.

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So those are the four - - - four witnesses that are primary witnesses used to identify him, plus the surveillance video.

So if we set that aside, set all four of those aside, we still get to the surveillance video, which I went and looked at, and I don't know if it's dispositive one way or the other, to be honest objectively. I can't say that now.

But I think you need to focus there.

MS. GLACHMAN: Okay.

JUDGE FAHEY: Okay.

MS. GLACHMAN: I'll start with Major. He did tell the police - - - it's partially what he told the police. I - - - he said I can't identify him; I'd have to see him. So he just - - - he - - - he repeatedly testified at trial that he clearly saw the taller robber's face. He was face-to-face with him. He came in - - they had conversations. They had two conversations. He - - - he was never more than four feet away from him during the entire incident.

And as the prosecutor pointed out, Major had a stutter, and - - and as she closedly argued in summation, it could have been difficult for him at

that time to give a description.

He was asked - - - there's no testimony about whether he was asked for a description and he couldn't give it. The testimony is was he - - - was he - - - he was asked whether - - - can you - - - can you identify this person, and he said "I don't know.

I'd have to see him." And that's exactly what he did. He saw him three months later in a photo array and four months after that in a line-up, and then at trial.

With respect to Major - - I'm sorry.

With respect to Wilson, he was held at gunpoint and not with the rest of the eyewitnesses, and he did focus on the shorter robber. But at trial, he recognized the defendant, and he testified about the description. He did have an opportunity to see the defendant when he was brought out from his office where the defendant was standing with the others, and he provided a description that fit defendant.

And - - - and he recognized him at trial.

He said that's the shorter one but with more weight.

Of course, that's incorrect. But the fact is that he did recognize him. So I don't think you could absolutely discount that identification.

With respect to Mateo - - - I'm sorry, if

1 you would just repeat what troubled Your Honor on 2 that? 3 JUDGE FAHEY: Well, my understanding of the record is he identified him for the first time at 4 5 trial, and he'd never viewed the line-up or the photo 6 array? 7 MS. GLACHMAN: Right. Mateo did not. 8 JUDGE FAHEY: Right. 9 MS. GLACHMAN: Not - - - I point out 10 something interesting with Mateo and Wilson, and that 11 is they both did correctly identify the accomplice. The accomplice himself testified that's---that's me 12 13 on the video, and he confirmed - - -14 JUDGE FAHEY: You're talking about the 15 person who pled? 16 MS. GLACHMAN: I'm sor - - - yes. 17 JUDGE FAHEY: Yeah. 18 MS. GLACHMAN: And he confirmed their 19 identification. And this court's decision in People 20 v. Thomas, I believe is very relevant, because it - -21 - the correct identification of an accomplice - - -22 JUDGE FAHEY: Okay. Let me just stop you 23 one second. So - - - because the other judges have 2.4 questions. But there's three witnesses there, and

there are varying degrees of problems with their

testimony. And then the last one was Anderson, and
you've got Anderson and - - - we're given a
description of Anderson through Detective Henry. You
see the problem?

MS. GLACHMAN: Well, it's not a problem,
because the jury was instructed it's not admitted for

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because the jury was instructed it's not admitted for its truth. And it had a very relevant purpose. The detective testified that once he armed himself with these descriptions he went out - - the next step in his investigation was specifically to look for a video.

JUDGE PIGOTT: So the Anderson description that was essential for the detective to go out and look for a surveillance video?

MS. GLACHMAN: Yes, because first of all, this video was not recovered from the crime scene.

It was around the corner in a private house. And without having descriptions it would - - - it would - - a detective would be hard-pressed to - - -

JUDGE ABDUS-SALAAM: But - - but counsel, did the detective need to give the description - - - although I - - I think the description was pretty minimal; it was just height and weight - - to convey to the jury that he had something that he was - - that made him focus on these defendants as

1 opposed to just two black men who might have been on 2 the street? 3 MS. GLACHMAN: I think, did he have to? 4 No. But it was proper to do so, and it was more 5 helpful for the People to elicit that. They're not -6 - - they're not constricted by the amount of evidence 7 they elicit to prove their case. And if it wasn't 8 connected, which I believe it was, the jury would 9 just disregard it. 10 It was a very important piece of evidence. 11 The video - - - first of all, it was a step to 12 further the investigation. 13 JUDGE FAHEY: You're talking about the 14 video was an important piece of evidence. 15 MS. GLACHMAN: Right. 16 JUDGE FAHEY: Go ahead. 17 MS. GLACHMAN: This detective, Henry, he 18 didn't arrest the defendant. He - - - he only took the case to a certain point. And the video was an -19 20 - - a piece - - - and important piece of evidence on 21 the People's direct case. 22 JUDGE STEIN: I'm still trying to 23 understand why it was important for the jury to know 2.4 what led him to find the video. Why - - - why would 25 that - - - without that information, would it have

1	made any difference to the jury at all?
2	MS. GLACHMAN: I think it's more helpful -
3	more helpful to the jury, other than saying
4	JUDGE STEIN: In what way? Helpful to do
5	what? So so what if he just said as part of my
6	investigation I went looking to see if there was any
7	video, to see if, you know, maybe
8	MS. GLACHMAN: But why focus on a video
9	around the corner from the location at at a
10	private home that the People are introducing into
11	evidence? It's more helpful to understand that he
12	obtained these descriptions
13	JUDGE RIVERA: Is your point that the jury
14	would be confused trying and then be distracted
15	or derailed from its focus on the issues that are
16	pertinent to the case by trying to figure out why are
17	you going to to look for a video around the
18	corner?
19	MS. GLACHMAN: No. I think
20	JUDGE RIVERA: No? Okay.
21	MS. GLACHMAN: that's extreme. I
22	just think it was more helpful and it was proper.
23	And the People are not constricted by the amount of
24	evidence.

JUDGE RIVERA: But the - - - but I think

1	that's where Judge Stein and now I'm trying to
2	understand, what's what are you helping?
3	What's the helping part?
4	MS. GLACHMAN: I think it's more helpful
5	for the jury to say oh, he he received
6	descriptions of two black men, one big and large, one
7	short and skinny, and recovered and went around
8	the corner, viewed a video inside someone's home, and
9	determined its value. And that's the video that
10	we're seeing now.
11	And if he had not been able to testify
12	about that, would they have been confused? No. But
13	it's just more helpful that the police conduct in
14	- and the recovery made
15	JUDGE RIVERA: That that turns on
16	- I'm just trying to follow this analysis. So that
17	turns on assuming that what the cop sees on the video
18	is an accurate accurately reflects what he
19	claims is the description that has been given to him,
20	correct? Right?
21	MS. GLACHMAN: Or comports with it. Yes.
22	JUDGE RIVERA: Um-hum.
23	MS. GLACHMAN: Yes, correct. And this
24	_
25	CHIEF JUDGE DIFIORE: Counsel, do you care

1 to take a moment and address your adversary's issues 2 on the ineffective - - -3 MS. GLACHMAN: Yes. 4 CHIEF JUDGE DIFIORE: - - - with respect to 5 summation? MS. GLACHMAN: I just want to end on - - -6 7 on one note that this issue is completely unpreserved had counsel brought to the - - -8 9 CHIEF JUDGE DIFIORE: We understand that. 10 MS. GLACHMAN: - - - this court's 11 attention. Regarding the summation, I'll just say 12 13 counsel is clearly not effective. The prosecutor's summation was fair comment on the evidence. It was 14 15 responsive to the defense summation which attacked 16 the credibility of the identifications. And it was 17 permissible rhetorical comment. 18 And also I would note that counsel did 19 object to - - - he did move for a mistrial on the 2.0 issue regarding the medical evidence and received 21 very favorable instructions. And other than that, 22 the prosecutor's summation was proper and - - -23 JUDGE STEIN: Even - - - even the 2.4 statements ridiculing Williams? You don't think that 25 went over the line a little bit?

MS. GLACHMAN: No, I don't, Your Honor. I don't believe they ridiculed him. I think that Williams' testimony was replete with - - - with inconsistencies, and the prosecutor was certainly able to comment on that - - a witness, as she would any witness.

CHIEF JUDGE DIFIORE: Thank you, counsel.

MS. GLACHMAN: Thank you.

CHIEF JUDGE DIFIORE: Counsel? Counsel, would you address the argument of the burden-shifting on the summation that you claim?

MS. TERAI: So defense counsel did object to when there was the no medical documentation thing, but he only said the word "objection", and didn't explain what the basis of objection was, and therefore it appeared that the court, at least at that point, didn't really understand what the basis of the objection was and gave some instructions that were not - - not to say that, you know, the People didn't have a burden - - the defense didn't have a burden, but you know, gave instructions saying that the jury can consider the evidence or the lack of evidence further suggesting that the People can - - that the defense - - that the jury can consider the fact that the People - - - the defense didn't

1	introduce medical documentation. And so
2	JUDGE ABDUS-SALAAM: So do you agree,
3	counsel, that with your adversary that the
4	hearsay objection didn't preserve the issue of the
5	identification, at least with respect to the
6	MS. TERAI: No, the hearsay as to
7	Anderson, it's preserved it. I mean, defense
8	counsel said the word "hearsay". The court made a
9	ruling in response the court was made aware of
10	what the problem was and made an explicit ruling
11	saying that this was being introduced for a
12	nonhearsay purpose, and there was nothing more that
13	defense counsel was required to do, and this issue is
14	properly preserved for this court's review.
15	CHIEF JUDGE DIFIORE: Thank you.
16	MS. TERAI: Thank you.
17	(Court is adjourned)
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CERTIFICATION

I, Penina Wolicki, certify that the foregoing transcript of proceedings in the Court of Appeals of People of the State of New York v. Louis Speaks, No. 144 was prepared using the required transcription equipment and is a true and accurate record of the proceedings.

Penina waien.

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