1	COURT OF APPEALS
2	STATE OF NEW YORK
3	
4	MATTER OF STATE OF NEW YORK,
5	Respondent,
6	-against-
7	No. 206 MYRON P.,
8	Appellant.
9	
10	20 Eagle Street Albany, New York 12207
11	October 18, 2012
12	Before:
13	CHIEF JUDGE JONATHAN LIPPMAN ASSOCIATE JUDGE CARMEN BEAUCHAMP CIPARICK
14	ASSOCIATE JUDGE VICTORIA A. GRAFFEO ASSOCIATE JUDGE SUSAN PHILLIPS READ
15	ASSOCIATE JUDGE ROBERT S. SMITH ASSOCIATE JUDGE EUGENE F. PIGOTT, JR.
16	ASSOCIATE JUDGE THEODORE T. JONES
17	Appearances:
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21	FRANK BRADY, ESQ. STATE OF NEW YORK OFFICE OF THE ATTORNEY GENERAL
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25	Penina Wolicki Official Court Transcriber

1	CHIEF JUDGE LIPPMAN: Counselor, you're
2	on. Do you want any rebuttal time, counselor?
3	MR. MCPHERSON: Yes, please, Your Honor.
4	If I could have two minutes?
5	THE COURT: Two minutes. Sure, go ahead.
6	MR. MCPHERSON: May it please the court,
7	Mark David McPherson for the appellant in this case,
8	Myron P.
9	Your Honors, for the last six years, Myron
10	P. has been confined in a secure treatment facility,
11	yet no jury ever authorized his confinement.
12	CHIEF JUDGE LIPPMAN: Counselor, don't 9
13	and 10 have different purposes?
14	MS. MCPHERSON: I agree with that, Your
15	Honor.
16	CHIEF JUDGE LIPPMAN: Yes? And so why
17	can't he have certain rights under 9 and certain
18	rights under 10?
19	MS. MCPHERSON: For two reasons. First of
20	all, both deal with the confinement of a mentally ill
21	population. Now, Your Honor is correct that there
22	are
23	CHIEF JUDGE LIPPMAN: But they're designed
24	to achieve different things.
25	MR. MCPHERSON: That's true. But with

1 respect to the confinement issue, there are two fundamental points. One is that the great tradition 2 3 of this state is that well before 1894, a confinement 4 decision had to be made by a jury. And because the 5 1894 constitution enshrined the right to a jury trial 6 7 JUDGE SMITH: But there wasn't - - - but 8 there wasn't a separate confinement decision back 9 then, was there? 10 MR. MCPHERSON: That's right, Your Honor. 11 But the point is that before 1894, in order to

confine an individual because of mental illness, a jury had to authorize that confinement in some way.

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JUDGE SMITH: Well, it was automatic. someone was found to be a lunatic - - -

MR. MCPHERSON: That's right.

JUDGE SMITH: - - - before 1894, he was automatically confined. Suppose you have a criminal statute that calls for automatic imprisonment, and the legislature amends it to say he can be given probation instead of imprisonment at the judge's discretion, does that violate the jury trial right?

MR. MCPHERSON: If the jury authorizes the confinement by a finding of guilt, yes. But the difference is that in this case, the jury was

explicitly told, based on the clear text of article 1 10, not to consider the issue of confinement. 2 3 jury - - -JUDGE PIGOTT: Would it have been - - -4 5 JUDGE SMITH: Well, in any criminal case, the jury is told - - - I realize this is civil, but 6 7 I'm making an analogy - - - in any criminal case, the jury is told not to concern itself with - - -8 9 JUDGE CIPARICK: Right. 10 JUDGE SMITH: - - - punishment. 11 JUDGE CIPARICK: Exactly. 12 MR. MCPHERSON: Not to concern itself with 13 the term of punishment or whether it will be 14 imprisonment or probation. But the jury certainly 15 knows that a consequence of a finding of guilt beyond 16 a reasonable doubt is the very possibility of 17 confinement. 18 JUDGE GRAFFEO: Can you clarify what it is 19 you're asking us to do? Because at the end of your 2.0 brief, I thought you were suggesting that you still 21 want the judge to be involved in determining 22 retention or other supervision. I'm not quite sure 23 exactly what you're proposing as the procedure. 2.4 MR. MCPHERSON: Sure. We understand that

there are differences in the statutory schemes set up

1	by article 9 and article 10. The difference, though,
2	is that a judge determines the type of treatment
3	_
4	JUDGE GRAFFEO: What do you want to happen
5	
6	MR. MCPHERSON: We want
7	JUDGE GRAFFEO: in article 10
8	proceedings?
9	MR. MCPHERSON: to happen we
10	want to have a jury to determine confinement. That
11	can happen in one of two ways.
12	CHIEF JUDGE LIPPMAN: You want it to be
13	treated like an article 9?
14	MR. MCPHERSON: Well, it could happen in
15	one of two ways, Your Honor. First of all, the court
16	could read C.P.L.R.'s right to a jury provision in
17	4101(3) into article 10.07(f). That's the
18	dispositional hearing at which
19	JUDGE CIPARICK: But we went through this
20	in Harkavy
21	JUDGE GRAFFEO: You want
22	JUDGE CIPARICK: I'm sorry. We went
23	through this in Harkavy I and II where proceedings
24	had been instituted under article 9. We said that
25	that was not the proper proceeding, that they had to

be instituted through the Correction Law. Then the legislature amended the statute to add article 10 to address some of the concerns that we voiced in Harkavy I and II. And now, it seems as if you're challenging what the legislature has done and you feel that it's not constitutional, that it's depriving someone similarly situated to your client from a jury trial on the issue of confinement.

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MR. MCPHERSON: Yes, Your Honor. Because it - - - we don't take issue with what the legislature - - -

JUDGE GRAFFEO: You want only one proceeding, or you still want two proceedings?

MR. MCPHERSON: Well, Your Honor, as I was saying, it could be done in a couple of ways. One way - - - the simplest way, in my view, is for 10.07(f) to be read in such a way that instead of saying "the court shall determine confinement or strict and intensive supervised treatment", it should say the jury or a court, if a jury trial is waived, should make that confinement determination.

JUDGE SMITH: Do we - - - do we have authority to rewrite the statute to that extent?

MR. MCPHERSON: You do, Your Honor. First of all, because it's not rewriting the statute, it's

simply applying C.P.L.R. 4101; and second, because 1 2 that's exactly what the Court of Appeals did in the 3 Lally case, when the issue was whether the similar 4 procedure for insanity acquittees violated the equal 5 protection right based exactly on the argument that we're making here, that because article 9 provides 6 7 for a jury right, there had to be a jury right for insanity acquittees. And this court in that case 8 9 said - - -10 JUDGE PIGOTT: Assuming for a minute - - -11

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assuming for a minute that you're right, are you sure you want this? You want a jury to sit there and listen to, let's say, you know, a rapist, you know, several times over, and have them decide whether or not they're going to be - - - this person's going to be confined or be subject to strict and intense supervision and treatment?

MR. MCPHERSON: Well, with all due respect, Your Honor, that's not a decision that this court should made.

JUDGE PIGOTT: I understand that.

 $$\operatorname{MR}.$ MCPHERSON: That's a decision that each respondent - - -

JUDGE PIGOTT: I - - - believe me, I read

your brief - - -

MR. MCPHERSON: - - - should be able to make.

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JUDGE PIGOTT: I understand your point.

MR. MCPHERSON: Right.

want to say is, we don't trust a judge to take - - to look at these two alternatives; we would rather
have six citizens sit there and do it. And what you
want us to do is do this for everybody; not just for
your client, but for every single sex offender who
comes under the Sex Offender Management and Treatment
Act, to say no longer will judges have discretion to
determine whether or not this person's going to be
confined or subject to strict and intensive
supervision and treatment, which is a very
sophisticated thing that the judges are looking at.
You want to say a jury in the community is going to
decide that.

MR. MCPHERSON: But no, Your Honor.

Actually that's not what we're saying. We're not saying that the judge should no longer have any discretion. We're saying that before the judge exercises that discretion, a jury must authorize confinement. So a person can't be detained, can't be confined, unless a jury authorizes it.

1	JUDGE CIPARICK: So going back to Judge
2	Graffeo's question, does that mean two proceedings:
3	one to determine the mental illness and a second one
4	to determine whether there should be confinement or
5	not, and then
6	MR. MCPHERSON: Well, that is that's
7	how the statute
8	JUDGE CIPARICK: it goes to the
9	judge?
10	MR. MCPHERSON: operates now.
11	JUDGE PIGOTT: No, it doesn't.
12	JUDGE CIPARICK: No.
13	MR. MCPHERSON: Under article under
14	article 10
15	JUDGE CIPARICK: Well, but the judge
16	MR. MCPHERSON: there's a
17	JUDGE CIPARICK: but you want a jury
18	to make that determination.
19	MR. MCPHERSON: Right. Under 10.07(d),
20	there's a trial on the issue of mental abnormality -
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22	JUDGE CIPARICK: Right, right.
23	MR. MCPHERSON: and then it proceeds
24	to a dispositional phase under 10.07(f) to determine
25	whether confinement is

1	JUDGE GRAFFEO: If the jury determines they
2	want confinement, then how does the judge
3	JUDGE CIPARICK: Right.
4	JUDGE GRAFFEO: decide to do
5	intensive supervision?
6	MR. MCPHERSON: If the jury determines that
7	there should be confinement, then the individual
8	would be confined under
9	JUDGE READ: So the judge has no dis
10	MR. MCPHERSON: under 10.10.
11	JUDGE READ: So the judge has no discretion
12	there?
13	JUDGE GRAFFEO: So you're taking the judge
14	out of the equation.
15	MR. MCPHERSON: But if the jury says that -
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17	JUDGE GRAFFEO: Do you think the pool of
18	sex offenders under article 10, that most of them
19	would prefer that, to have a jury determination?
20	MR. MCPHERSON: Well, and, Your Honor,
21	again, a respondent can make a determination for him
22	or herself whether to waive
23	JUDGE SMITH: You're saying
24	MR. MCPHERSON: the provision.
25	JUDGE SMITH: if they don't want it,

1 they can waive it? MR. MCPHERSON: Exactly. They can make the 2 3 decision to waive it. But if the jury determines 4 that the person - - - all we're asking for is that a 5 jury has to determine whether a respondent satisfies the definition of 10.03(e), whether the individual is 6 7 a dangerous sex offender requiring confinement. 8 If the jury says no, then the judge could 9 proceed under 10.11 to determine what the particular 10 treatment that's appropriate for that respondent 11 might be. 12 JUDGE PIGOTT: Can the judge also say 13 they've said no, and therefore I'm releasing him? MR. MCPHERSON: Well, that's - - - that 14 15 could be the case, even if the - - -16 JUDGE PIGOTT: I don't read that. 17 MR. MCPHERSON: - - - even under the 18 current statute. 19 JUDGE PIGOTT: You're saying it. 2.0 MR. MCPHERSON: Yes. 21 JUDGE PIGOTT: You're saying that the jury 22 comes back and says he's not dangerous, and the judge 23 says, happy days. 2.4 MR. MCPHERSON: And that could happen under

the current treat - - -

1 JUDGE SMITH: But if the jury - - -2 MR. MCPHERSON: - - - statute. 3 JUDGE SMITH: - - - if the jury says - - -4 if the jury does determine the question of 5 confinement and it's in favor of confinement, then the ball game's over? 6 7 MR. MCPHERSON: Well, then the judge, I think, still has to determine what kind of treatment 8 9 is necessary while the individual is confined. 10 CHIEF JUDGE LIPPMAN: Okay, counselor. 11 MR. MCPHERSON: Thank you, Your Honors. CHIEF JUDGE LIPPMAN: You'll have some 12 13 rebuttal. Thank you. Counselor? 14 15 MR. BRADY: Good afternoon, Your Honors. 16 May it please the court. 17 The first point I'd like to make is that we don't believe that these claims are reviewable, 18 19 because they weren't - - - neither the argument that 2.0 he has a state constitutional right to a jury trial 21 in the dispositional phase of article 10 or the idea 22 that the different dispositional confinement 23 determinations between article 9 and article 10, 2.4 violates equal protection. 25 CHIEF JUDGE LIPPMAN: Counselor, do you

1	think once they consented to 10 that they're out of
2	luck, basically, in terms of wanting to be treated
3	like 9 like it's an article 9 proceeding?
4	MR. BRADY: Well, as the point was made by
5	Judge Ciparick, is that once the article 10 petition
6	is filed, the article 9 rights become academic, as
7	this
8	CHIEF JUDGE LIPPMAN: Lose, finished?
9	MR. BRADY: court has already held.
10	Right. So
11	CHIEF JUDGE LIPPMAN: So what should they
12	have done? Should they not have consented to 10?
13	MR. BRADY: Well, I don't think they so
14	much consented to 10. I mean, they were he
15	- Myron P. was the subject of an article 10 petition
16	on the basis he was a detained sex offender,
17	because he is one of those people who had been
18	transferred from the Department of Correctional
19	Services
20	JUDGE SMITH: And he said you can't do that
21	to me, because I have a right to a jury trial.
22	MR. BRADY: Yes, he tried to what he
23	tried to
24	CHIEF JUDGE LIPPMAN: Under 9?
25	MR. BRADY: he tried

1 CHIEF JUDGE LIPPMAN: Is that what he said; 2 under 9? 3 MR. BRADY: He tried to defeat the article 10 by saying that the basis for my - - - for the 4 5 article 10 jurisdiction is the fact that I was in pursuant to article 9. 6 7 JUDGE PIGOTT: What was the basis - - -MR. BRADY: So Myron P. - - -8 9 JUDGE PIGOTT: - - - what was - - -10 MR. BRADY: - - - decided - - -11 JUDGE PIGOTT: - - - the basis for the 12 article 9? 13 JUDGE CIPARICK: Was it - - -MR. BRADY: The basis for the article 9? I 14 15 don't know. It's not in my - - -16 JUDGE CIPARICK: It was before the article 17 10 had even been passed. 18 MR. BRADY: It was before the article 10 19 happened. 20 JUDGE CIPARICK: Right. 21 JUDGE PIGOTT: I understand it. But my point is that at least impliedly, there's something 22 23 here where because you haven't moved on the article 2.4 10, you use article 9 as kind of a holding pattern,

so then you can later file an article 10.

1 MR. BRADY: He was a - - - he was among those class of individuals who the Department of 2 3 Correctional Services had initially proceeded under article 9, under - - - and this court found that that 4 5 was improper; that they should have proceeded under Correction Law - - -6 7 JUDGE PIGOTT: I see. So then - - -MR. BRADY: - - - 404. 8 9 JUDGE PIGOTT: - - - so then - - -10 MR. BRADY: He was one of those people. 11 And when they enacted article 10, they - - -12 10.03(q)(5) specifically refers to that class of 13 people and says that those people who were 14 transferred from DOCS, pursuant to article 9 - - - to 15 MH pursuant to article 9, were subject - - - could be 16 subject to article 10 petition. 17 JUDGE SMITH: But to get - - -18 MR. BRADY: He was one of those 19 individuals. And he - - - what he did is he moved 20 for a stay of the article 10 proceeding. He didn't 21 want to be subject to article 10. 22 JUDGE SMITH: Okay. If I could just get 23 you back to preservation for a moment. 2.4 MR. BRADY: Yes. 25 JUDGE SMITH: He did say the article 10

1	proceeding violates my constitutional rights,
2	including my right to a jury trial?
3	MR. BRADY: No, never did. No, never did.
4	JUDGE SMITH: He said
5	MR. BRADY: What he did is he this is
6	important he made a stay motion. He wanted to
7	stay the article 10 proceeding.
8	JUDGE SMITH: He wanted
9	MR. BRADY: He said and he said
10	JUDGE SMITH: he wanted to have an
11	article 9 proceeding first, because he says, because
12	
13	MR. BRADY: Because he says
14	JUDGE SMITH: article 10 would not
15	give him a jury?
16	MR. BRADY: No.
17	JUDGE SMITH: No?
18	MR. BRADY: No. No. What he says is
19	JUDGE SMITH: What did he say about his
20	_
21	MR. BRADY: I'll tell you exactly what he
22	says, because it's in the motion papers. "If the
23	article 9 admission is held to be invalid, or that
24	Myron P. does not meet the criteria for involuntary
25	admission to a psychiatric hospital, that is under

article 9, then there is no jurisdiction to commence 1 2 the article 10 proceeding." 3 JUDGE SMITH: You're saying he did not raise any constitutional issue? 4 5 MR. BRADY: No. 6 JUDGE SMITH: Justice O'Connor thought he 7 did. 8 MR. BRADY: He made the very argument that 9 this court rejected in the second Harkavy case. 10 JUDGE SMITH: Are you really saying he 11 raised no constitutional issue? Because I thought -12 - - I really thought I saw it. 13 MR. BRADY: I - - -14 JUDGE SMITH: And Justice O'Connor thought 15 she saw it. 16 MR. BRADY: What he did, in the context of 17 this - - - of this stay motion, Your Honor, in an attempt to persuade the court that the should have 18 19 his article 9 rights adjudicated first, he cited the 20 equal protection clause and he cited the state 21 constitutional right to a jury trial. 22 JUDGE SMITH: Okay, that's really - - -23 MR. BRADY: But that's only in the - - -2.4 JUDGE SMITH: - - - that's really what I've 25 been asking you for a few minutes. He did cite the

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          constitutional right to a trial by jury?
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                    MR. BRADY: He cited it, but he never - - -
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                    JUDGE SMITH: Okay. Are you - - - I mean,
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          it think it would help if you'd told me that first.
 5
          The - - -
                    MR. BRADY: I wanted to - - -
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                    JUDGE SMITH: He cited it. Your argument
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          is that he did not - - - that his way of citing it
 9
          was insufficient to preserve the point because of the
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          context in which he cited it?
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                    MR. BRADY: Yes. It was merely part of his
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          argument to persuade the court that - - -
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                    JUDGE SMITH: Okay, but - - -
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                    MR. BRADY: - - - the court should stay the
15
16
                    JUDGE SMITH: - - - but the - - -
17
                    MR. BRADY: - - - article 10 proceeding.
                    THE COURT: - - - but once - - -
18
                    MR. BRADY: He never once asked for - - -
19
20
          if I could answer the question?
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                    JUDGE SMITH: If I could follow up, please?
22
          If I could - - - having - - - once Justice O'Connor
23
          had ruled that his constitutional right was without
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          merit, and when Justice - - - who was the second
25
          justice - - -
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1	JUDGE CIPARICK: McNamara.
2	JUDGE SMITH: McNamara said, in
3	effect, I'm not revisiting what she has done,
4	wouldn't it have been clearly futile for him to say,
5	wait a minute, I have a trial a jury trial
6	right?
7	MR. BRADY: If he had asked for a if
8	he asked for the right to a jury trial in the
9	dispositional phase of article 10
10	JUDGE SMITH: Um-hum.
11	MR. BRADY: in the first instance,
12	you would be right, Your Honor. But he never asked
13	for a jury trial.
14	JUDGE SMITH: Okay. I guess what I'm
15	saying is would I mean, I do under
16	despite the fact that I yell at you, I do understand
17	your point.
18	MR. BRADY: Well, I don't mean to interrupt
19	you either, Judge.
20	JUDGE SMITH: But it's wouldn't it
21	have been an exercise in futility for him to ask
22	Justice McNamara to decide what Justice O'Connor had
23	already decided and what Justice McNamara had
24	indicated he wouldn't revisit?

MR. BRADY: Well, the - - - what the first

judge decided, was that he couldn't - - - he denied 1 his stay motion. That's all the decision was. 2 3 JUDGE SMITH: Well, it says - - - it did mention that he had constitutional arguments and it 4 5 found them without merit. 6 MR. BRADY: In his motion papers - - - no, 7 it did not. It did not - - - it did not reject an 8 argument that he had a right to a jury trial in the 9 dispositional phase of - - -10 JUDGE SMITH: It didn't - - -11 MR. BRADY: - - - article 10. 12 JUDGE SMITH: - - - it didn't discuss jury 13 trial, but it did mention that he - - - it recited 14 the constitutional ground for his motion, and then it 15 said his other arguments are without merit, correct? 16 MR. BRADY: Well, I can tell you what - - -17 he did cite the constitutional provisions we're talking about, there's no question. But he did that 18 19 only to try to persuade the court not to - - - not to 20 subject him to article 9, to stay the article - - -21 I'm sorry, to stay - - - that I shouldn't be subject 22 to article 10, because I have these state 23 constitutional rights to a jury trial. And under 2.4 equal - - - and he cites the equal protection clause.

It doesn't really say the context. But he says that

	stay the article 10 ne's raising the same issue
2	that was rejected in Harkavy stay the article
3	10; I want to I want my I want to
4	challenge
5	JUDGE CIPARICK: Article 9 rights.
6	MR. BRADY: the basis of my article 9
7	confinement. And if I can defeat that, well then
8	you'll have no basis to go after me under article 10.
9	JUDGE PIGOTT: What happened
10	MR. BRADY: We know that's wrong.
11	JUDGE PIGOTT: What happened to the article
12	9?
13	MR. BRADY: It was you know, whether
14	it was dismissed I guess it was dismissed. I
15	don't
16	JUDGE PIGOTT: I didn't find that either.
17	In the ruling in July of '08, the court just said,
18	"The issues pertaining to his article 9 must be
19	resolved in an appropriate commitment hearing held in
20	accordance with 10." So what did they merge
21	them?
22	MR. BRADY: I think as this court
23	said in Harkavy, it just becomes the article 10
24	petition just becomes academic.

JUDGE PIGOTT: Well, except that there's -

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2	MR. BRADY: Because
3	JUDGE PIGOTT: there's a
4	MR. BRADY: because what happens is
5	the
6	JUDGE PIGOTT: there's that
7	MR. BRADY: subject of his
8	confinement is now article 10.
9	JUDGE PIGOTT: there's that equal
10	protection argument that they make as well, which
11	says that under 9 I get a jury; under 10 I don't, and
12	you're denying me equal protection by not giving me
13	my 9 that you started, and instead going with 10.
14	Does he have a point?
15	MR. BRADY: I no. If the article 9
16	proceeding is academic, he doesn't have a point. The
17	article 9 proceeding doesn't exist anymore.
18	JUDGE READ: And that's academic because of
19	what we decided, you're saying?
20	MR. BRADY: Right, because of what you
21	decided in Harkavy, the article 9 proceeding falls by
22	the wayside. Because now, he's being confined under
23	article 10. And that's where his rights have to be
24	adjudicated.

JUDGE SMITH: Did Justice O'Connor, in the

course of that motion, have to determine his - - -1 whether he was - - - whether article 10 did or did 2 3 not violate his right to trial by jury? 4 MR. BRADY: No. No. No, it wasn't 5 implicit in the court's finding at all. 6 JUDGE SMITH: I mean, suppose - - - suppose she - - - if she had thought that he was right on the 7 8 merits, that he's entitled to a jury trial right, 9 shouldn't she have done something other than say go 10 to an article 10 proceeding? 11 MR. BRADY: Well, she could have 12 entertained any motion that he made for this relief. 13 He could have - - - he could have asked for a jury 14 instruction, frankly, on what he's asking this court 15 - - - you know, whether it should be an up or down -16 17 JUDGE SMITH: I mean, I do - - - I do think 18 you have a point that it was easy for him to say, 19 Your Honor, I'm demanding a trial by jury, and he 20 didn't say it. I guess I'm just saying, would it 21 have made any difference, or would it have been a ritual? 22 MR. BRADY: I - - - well, if he'd actually 23 2.4 raised this issue, Your Honor, it's important from

the State's point of view, because then the State

1 would have been in a position to put forth - - - make 2 an evidentiary finding - - - make an evidentiary 3 showing why article 9 respondents are treated so differently from article 10. 4 5 JUDGE SMITH: We've used up too much of 6 your time. Spend the remaining time on the merits. 7 I'm sorry. MR. BRADY: Well, that is - - - that is 8 9 part of my merits, is that the court shouldn't reach 10 this important - - -11 JUDGE SMITH: Okay, but just in case we do, 12 you might want to say something about it. 13 MR. BRADY: Well - - -14 CHIEF JUDGE LIPPMAN: You've got about 15 thirty seconds, so say it, if you're going to say it. 16 MR. BRADY: Well, it's been mentioned 17 already. The - - - we don't believe that the article 18 10 procedures violate the state constitutional right 19 to a jury trial and civil commitment proceedings. We 20 believe that article 10, the determination in article 21 10 mirrors what the 1894 jury, with respect to 22 finding mental illness and dangerousness. With res -23 - - I see my time is out. 2.4 CHIEF JUDGE LIPPMAN: Finish your sentence.

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Go ahead.

MR. BRADY: I was just going to say that with respect to the equal protection, you know, as it's been pointed out, the legislature, in enacting article 10, found that these were very different populations. And because they are very different populations, there's a rational basis to have this different procedure, this different dispositional question at the end of the - - - in the article 10 proceeding.

CHIEF JUDGE LIPPMAN: Okay, counselor. Thanks.

Counselor, rebuttal.

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MR. MCPHERSON: Thank you, Your Honor.

Just to address the preservation issue briefly. I

think the basic purpose of the preservation

requirement is simply to put the court and the

opposing party on notice so that they can cure any

defect. And that's clearly what Myron P. did here.

He made the argument that - - -

JUDGE SMITH: I mean, your adversary has a point. It would have been pretty simple to stand up and say, Your Honor, for the record, I'm demanding a trial by jury.

MR. MCPHERSON: You're right. But it's also clear from the record that Your Honor was

correct that it would have been a futile exercise 1 2 because every time he stood up to challenge the 3 previous ruling, the judge said we hear you; your 4 objections are preserved; now please sit down. 5 That happened on the first day of the 6 trial. Myron P.'s counsel stated, we preserve all 7 rights that we have relating to the underlying 8 rulings. And the court said, yes, I hear you. 9 On the second day, again, the issue came up 10 and again counsel said we want to preserve our 11 rights, and the judge said okay, let's move on. And 12 it happened on the third day as well. 13 At each stage, counsel preserved the 14 argument. Now, you're right, he could have done it 15 more artfully. He could have done it more eloquently, perhaps, but it was clear that he was 16 17 making the constitutional argument. 18 CHIEF JUDGE LIPPMAN: Okay. Thanks, 19 counsel. 20 MR. MCPHERSON: Thank you very much, Your 21 Honors. 22 (Court is adjourned) 23

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CERTIFICATION

I, Penina Wolicki, certify that the foregoing transcript of proceedings in the Court of Appeals of Matter of State of New York v. Myron P., No. 206 was prepared using the required transcription equipment and is a true and accurate record of the proceedings.

Penina waich.

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